Gatehouse Bank plc
PO Box 861
Wallsend, NE28 5BP

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Stocks and Shares to Cash ISA Transfer Form

Information about you

Title _____

First Name(s) _____

Surname _____

Date of Birth _____

National Insurance number _____

Application or Account Number _____

Permanent Residential Address

_____

_____

_____

Postcode

_____

Information about the Stocks and Shares ISA to be transferred

Name of existing ISA provider _____

Address of existing ISA provider

_____

_____

_____

_____

ISA Account Number/Reference _____

Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.
Registered in England & Wales No. 06260053. Registered address: The Helicon, One South Place, London, EC2M 2RB.

Roll number (as applicable) of existing ISA to be transferred _____

Please note

As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example:

- Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead
- There may be outstanding fees to pay before the transfer goes ahead

If you are not sure what conditions apply please check with your existing stocks and shares ISA provider before completing this form

1. Do you want to transfer all or part of this stocks and shares ISA? ☐ All ☐ Part

If you have answered 'All' to question 1 this will result in all investments currently held being sold. The sale proceeds, together with any non-invested cash currently held in the ISA, will be transferred to your new provider in its entirety.

2. Have you subscribed to this stocks and shares ISA in the current tax year?

☐ Yes ☐ No

If you answered 'All' to question 1, please go directly to the Transfer authority section below.

If you answered 'Part' to question 1, please answer questions 3-5 below.

Partial Transfers

3. If you answered yes to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)?

   ☐ Yes ☐ No

4. If you only want to transfer your subscriptions from the current tax year, tick here ☐

   Total amount of current subscriptions to be transferred _____

   OR

   If you only want to transfer all of your subscriptions from previous tax years, tick here ☐

Total amount of current and previous years subscriptions to be transferred _____

OR

If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you answered yes to Q3 tick here and provide sale instructions by answering Q5 ☐

5. Stocks, Shares and/or Funds that you wish to sell.

Please check your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form. Please note that you may be contacted by your existing ISA provider to agree what to sell.

Please use the box below to give instructions to your current stocks and shares ISA provider with regard to what specific value or Tax year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Gatehouse Bank. Alternatively, you may provide information by supplying a separate list, e.g. by annotating a valuation statement from your provider.

Description of Investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc (if known)	Value of Stocks, Shares, Units or specify 'All' to sell entire holding

5b. Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your stocks and shares ISA you want to transfer:

£_____

OR, if you want to transfer all of your uninvested cash balance, tick here ☐

Important information regarding your Gatehouse Bank Cash ISA(s)

To help you take advantage of your full tax free allowance, Gatehouse Bank allows you to invest in a number of cash ISA products with Gatehouse Bank within the current tax year. The combined funds held for the current tax year must not exceed your annual allowance.

Transfer Authority (to be completed by the customer)

I authorise my existing ISA provider as specified above to sell investments and transfer the ISA in cash (account number overleaf) to Gatehouse Bank.

I authorise my existing ISA provider to provide Gatehouse Bank with any information, written or non-written, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)

1. Wait for the full notice period to end or wait until redemption (whichever is relevant) before going ahead with this transfer. ☐

   OR

2. Depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may be applied. ☐

Signed:

_____

Date:

_____

Please note that a failure to complete this form may result in a delay in the transfer.

Please return your completed ISA Transfer Form to the following freepost address:

Gatehouse Bank

PO Box 861

Wallsend

NE28 5BP